

61

**NOTICE OF APPEAL FROM THE PRIMARY EXAMINER TO
THE BOARD OF PATENT APPEALS AND INTERFERENCES (Large Entity)**

AS 214
Docket No.
ITL 0248US

In Re Application Of: James P. Ketrenos et al.

Application No.
09/466,113

Filing Date
December 17, 1999

Examiner
Adnan M. Mirza

Customer No.
21906

Group Art Unit
2141

Confirmation No.
9791

Invention: Distributed File System Including Multicast Retrieval

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NOV 24 2004

Technology Center 2100

COMMISSIONER FOR PATENTS:

Applicant(s) hereby appeal(s) to the Board of Patent Appeals and Interferences from the decision of the Primary Examiner dated September 29, 2004 finally rejecting Claim(s) 1-24.

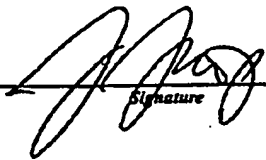
NO FEE IS BELIEVED TO BE DUE :

The fee for this Notice of Appeal is:


- ☐ A check in the amount of the fee is enclosed.
- ☐ The Director has already been authorized to charge fees in this application to a Deposit Account.
- ☒ The Director is hereby authorized to charge any fees which may be required, or credit any overpayment to Deposit Account No. 20-1504
- ☐ Payment by credit card. Form PTO-2038 is attached.

WARNING: Information on this form may become public. Credit card information should not be included on this form. Provide credit card information and authorization on PTO-2038.

Dated: November 19, 2004


Timothy N. Trop, Reg. No. 28,994
Trop, Pruner & Hu, P.C.
8554 Katy Freeway, Suite 100
Houston, Texas 77024
(713) 468-8880
(713) 468-8883 (fax)

I hereby certify that this correspondence is being deposited with the United States Postal Service with sufficient postage as first class mail in an envelope addressed to the "Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450" [37 CFR 1.8(a)] on
November 19, 2004

(Date) 02/24/2005

Signature of Person Mailing Correspondence

Cynthia L. Hayden

Typed or Printed Name of Person Mailing Correspondence

cc:

1594 89466113
P13LARGE/REV07

Adjustment date: 06/01/2005 SDIRETA1
02/24/2005 DSMALLS 00000002 201504 09466113
01 FC:1401 340.00 CR



2141

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Applicant:

James P. Ketrenos et al.

Serial No.: 09/466,113

Filed: December 17, 1999

For: Distributed File System
Including Multicast RetrievalCommissioner for Patents
P.O. Box 1450
Alexandria, VA 22313-1450

Art Unit: 2141

Examiner: Adnan Mirza

Atty Docket: ITL0248US
P7373

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INFORMATION DISCLOSURE STATEMENT

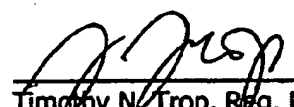
Dear Sir:

Applicant submits the references listed on the attached form PTO 1449, copies of which are enclosed. A copy of a communication dated October 17, 2003 from a foreign patent office in a counterpart application is also enclosed. I, the undersigned, hereby certify that each item of information contained in the Information Disclosure Statement was first cited in any communication from a foreign patent office in a counterpart foreign application not more than three months prior to the filing of the Information Disclosure Statement. Please apply any charges or credits to Deposit Account No. 20-

2/17/1999, P. 1504 (ITL 0248US), 09466113

1 FC:1806 180.00 DA

Respectfully submitted,

October 22, 2003
Date
Timothy N. Trop, Reg. No. 28,994
Trop, Pruner & Hu, P.C.
8554 Katy Freeway, Ste. 100
Houston, TX 77024
713/468-8880
713/468-8883 [fax]Adjustment date: 06/01/2005 SDIRETA1
02/22/2005 PBRITTON 00000001 201504 09466113
01 FC:1806 180.00 CR

Customer No.

21906

Date of Deposit: October 22, 2003

I hereby certify under 37 CFR 1.8(a) that this correspondence is being deposited with the United States Postal Service as first class mail with sufficient postage on the date indicated above and is addressed to the Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.


Monica Jacobs

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant: James P. Ketrenos et al.

Serial No.: 09/466,113

Filed: December 17, 1999

For: Distributed File System Including
Multicast RetrievalCommissioner for Patents
P.O. Box 1450
Alexandria, VA 22313-1450

Group Art Unit: 2141

Examiner: Adnan Mirza

Atty. Dkt. No.: ITL.0248US

REQUEST FOR REFUND

Sir:

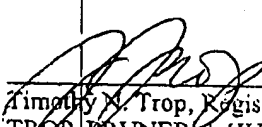
A "Notice of Appeal" was filed on November 19, 2004 and an "Appeal Brief" was filed on January 11, 2005. A fee of \$340 for filing a Notice of Appeal and \$500 for filing an Appeal Brief was charged to our Deposit Account on February 24, 2005. Pursuant to M.P.E.P. §1208.02, there is no fee due for this Appeal, because the Examiner reopened prosecution after filing of the first Appeal Brief on June 5, 2003, these amounts should be refunded.

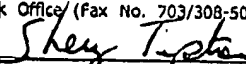
Also, an "Information Disclosure Statement" was filed on February 25, 2004. A fee of \$180.00 for submission of an Information Disclosure Statement was charged to our Deposit Account on February 22, 2005. Since each item of information contained in the Information Disclosure Statement was first cited in a communication from a foreign patent office in a counterpart foreign application not more than three months prior to the filing of the Information Disclosure Statement, no fee should have been charged and this amount should be refunded.

Thus, please make a refund, in the amount of \$1,020.00, to Deposit Account No. 20-1504 (Ref. No.: ITL.0248US).

Respectfully submitted,

Date: 4/11/05


Timothy N. Trop, Registration No. 28,994
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Date of Deposit: 4-11-05
I certify that this document and authorization to credit deposit account is being facsimile transmitted to the United States Patent and Trademark Office (Fax No. 703/308-5077) on the date indicated above.

Sherry Tipton

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